SPHERICAL PLANETARY NEBULAE

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ABSTRACT

By examining their mass loss history and their distribution in the galaxy I argue that spherical planetary nebulae (PNe) form a special group among all planetary nebulae. The smooth surface brightness of most spherical PNe suggests that their progenitors did not go through a final intensive wind (FIW, also termed superwind) phase. While $\sim 70\%$ of the PNe of all other PNe groups are closer to the galactic center than the sun is, only $\sim 30\%$ of spherical PNe are; $\sim 70\%$ of them are farther away from the galactic center. These, plus the well known high scale height above the galactic plane of spherical PNe, suggest that the progenitors of spherical PNe are low mass stars having low metallicity. Although many stars have these properties, only $\sim 10\%$ of all PNe are spherical. By comparing the galactic distribution of spherical PNe to the metallicity evolution in the galaxy, I find that the critical metallicity above which no spherical PNe are formed is $[Fe/H] \sim -0.4$. I explain this as well as other properties of spherical PNe in the context of the companion model for shaping PNe, arguing that spherical PNe are formed from stars which had no close companion, stellar or substellar, orbiting them. I discuss the connection of the proposed scenario to the recent finding of extrasolar planets and to the presence of blue horizontal branch stars in globular clusters.

Key words: planetary nebulae:general — stars: AGB and post-AGB — stars: mass loss — stars: planetary systems — stars: rotation

1. INTRODUCTION

Most planetary nebulae (PNe) have a large scale axisymmetrical rather than spherical structure. Axisymmetrical PNe can be classified into two main groups; bipolar PNe, which are defined as PNe whose main structure contains two lobes with an equatorial waist between them, and elliptical PNe, which have a general elliptical shape, but no lobes, or only small ones. In the present paper I refer to PNe having a large and dense concentration of mass in their equatorial plane, e.g., a ring, but without lobes, as extreme elliptical PNe. Whereas bipolar and elliptical PNe were the focus of many studies in recent years, basic questions regarding spherical PNe were not discussed much in the literature. The only point mentioned in some papers is a large scale height above (or below) the galactic plane of spherical PNe distribution, which implies that they are formed from low mass stars (e.g., Manchado et al. 2000). The question most often addressed is: what is the mechanism for forming non-spherical, i.e., bipolar or elliptical, structures? In the present paper I reverse the emphasis by asking: what is required to form a spherical PN? Presenting the question this way allows me to consider a wider scope, e.g., the formation of blue horizontal branch (HB) stars. The two type of objects, I suggest, are connected in that both spherical PNe and blue HB stars are formed from low mass stars, but blue HB stars will not form PNe. Therefore, the process behind the formation of blue HB stars will prevent a star from forming a PN.

Manchado et al. (2000) argue that $\sim 25\%$ of the PNe in their sample are round. In the present paper I use the term spherical PNe, assuming that the PNe I classified as spherical are indeed spherical and not pole-on elliptical or bipolar PNe. I use my earlier classification of spherical PNe (Soker 1997, hereafter S97), where more stringent criteria were used, and where only 18 PNe are classified as spherical (Table 2 of S97). These amount to 4% of the 458 PNe classified there, but the estimate is that $\sim 10\%$ of all PNe are spherical. Manchado et al. (1996), for example, classify LSA 1 (PNG 029.8-07.8), K3-73 (PNG 084.0+09.5), and A 33 (PNG 238.0+34.8) as round PNe, but I can clearly see two arcs within each of these PNe, which suggest that they are elliptical PNe. On the other hand, K1-14 (PNG 045.6+24.3) and A71 (PNG 084.9+04.4), which are classified as round PNe by Manchado et al. (1996), but not by S97, may have been spherical PNe before distortion. Another interesting case is A30 (PNG 208.5+33.2), which was classified as round by Manchado et al., but not by S97. The reason for the S97 classification of A30 as elliptical PN is the knots close to its central star, which show an axisymmetrical structure. But beside these four knots the nebula shows all the properties of a spherical PN.

In section 2 some aspects of the distribution of these spherical PNe in the galaxy and their mass loss history are studied. In section 3 these properties are related to the metallicity of their progenitors. In section 3 I discuss also the formation of spherical PNe in relation to blue HB stars and to the more than 50 known extrasolar planets, arguing that the findings are compatible with the planet-induced axisymmetrical mass loss model. In this model low departure from spherical mass loss of some stars on the upper AGB are attributed to planets (Soker 2001a and references therein). Summary of main results, and some speculations and predictions, are given in section 4.

2. PROPERTIES OF SPHERICAL PLANETARY NEBULAE

2.1. The Galactic Distribution

It is well known that spherical PNe have a larger average galactic latitude b than that of the other PNe (e.g., Manchado et al. 2000). I now show that the class of spherical PNe, as classified by S97, has also a larger galactic longitude than the other PNe. In S97 I classified the PNe according to the type of binary interaction that may have shaped them: (i) Spherical PNe, which I assumed in S97 to be PNe whose progenitors did not interact with any close companion, stellar or substellar. (ii) Bipolar PNe. Most, but not all, bipolar PNe belong to this class. In S97 I assumed that these are PNe whose progenitors interacted with close stellar companions outside their envelopes. (iii) Extreme-Elliptical PNe. This class includes some bipolar PNe, but mainly extreme-elliptical PNe, e.g., having a ring but not two lobes. I assumed in S97 that the progenitors of most of these PNe interacted with a stellar companion via a common envelope phase. (iv) Elliptical PNe. By elliptical alone, I refer to moderate elliptical PNe which show only small departure from sphericity. In S97 the progenitors of these PNe were assumed to have interacted with substellar objects, but not with a close stellar object. In a later paper (Soker 2001b) I argue that many PNe which belong to classes (iii) and (iv) can be formed from the influence of a companion outside the progenitor's envelope, if the companion is not too close and/or two massive, but still accretes and blows its own collimated fast wind (CFW).

In Table 1 I compare the galactic distribution of the four classes listed by S97. The quantities that are given for each class are as follows. The total number of PNe in the class, N_T , the average galactic longitude of all PNe in the class l_a , and the number of PNe toward the galactic center, i.e., having $l < 90^{\circ}$ or $l > 270^{\circ}$, $N_{\rm in}$. Also given are quantities just for PNe toward the galactic center: $l_{\rm in}$ is the average value of the galactic longitude, $fb_{\rm in}$ is the fraction of PNe having galactic latitude of $|b| > 10^{\circ}$, and $|b| > 10^{\circ}$, and $|b| > 10^{\circ}$, and $|b| > 10^{\circ}$. Other quantities with subscript 'out' have the same meaning as those with subscript 'in', but only for PNe away from the galactic center. For statistics involving the galactic longitude I took the galactic longitude of PNe with $180^{\circ} < l < 360^{\circ}$ to be $l_s = 360^{\circ} - l$.

One well known property and two new ones clearly emerge from the Table. (1) As is well known (see, e.g., reviews by Corradi 2000 and Manchado et al. 2000) bipolar PNe are concentrated toward the galactic plane, elliptical have intermediate scale height, while spherical have the largest scale height. The relevant quantities in the Table which show this behavior are $fb_{\rm in,out}$ and delta = d

average galactic latitude of spherical PNe toward the galactic center. This is seen from comparing the values of $fb_{\rm in}$ and $< b_{\rm in} >$ with $fb_{\rm out}$ and $< b_{\rm out} >$. For the three classes of nonspherical PNe $fb_{\rm in} < fb_{\rm out}$ and $< b_{\rm in} >$ while for spherical PNe opposite inequalities hold. Another way to see this is by noticing that there is a smooth increase in $fb_{\rm out}$ and $< b_{\rm out} >$ when moving from bipolar to extreme-elliptical to elliptical and to spherical, while in $fb_{\rm in}$ and $< b_{\rm in} >$ the smooth increase holds only for the nonspherical PNe, with a sharp rise between elliptical and spherical PNe. This behavior is less certain because of the poor statistics, as there are only six spherical PNe toward the galactic center. Also, the relevant physical quantity is the galactic height, for which the distance to each PN has to be known. I use the galactic latitude in the statistical analysis in order to avoid the large uncertainties in distances to PNe. Despite these drawbacks, in the next section I suggest that this behavior is real, and try to explain it.

As discussed in the previous section, Manchado *et al.* (1996, 2000) use a different classification for spherical PNe. In their classification properties (2) and (3) listed above are not found. As also mention in the previous section, I disagree with Manchado *et al.* (1996) in the classification of many PNe as spherical. Further study is clearly needed here.

2.2. Mass Loss History

From the structures of most PNe, as well as other considerations, we know that most stars terminate the AGB by blowing a superwind (Renzini 1981), i.e., a final intensive wind (FIW), which is not faster than the regular AGB wind but has a much higher mass loss rate. In PNe this wind forms a dense shell. In many cases, inward to this shell there is a bright rim formed by the fast wind from the central star, and a fainter shell or halo outside the shell (Frank, Balick, & Riley 1990). Examining the images of the 18 spherical PNe (S97 Table 2), I find that most do not show signatures of a FIW (Soker 2000a). I find dense shells only in Bd+30 3639 and IC 3568, both which were suspected of being elliptical seen pole-on (marked PO by S97), H3-75, and possibly in A 15 and Lo 4. As discussed in Soker (2000a), where more details and references can be found, the same correlation holds in many elliptical PNe; their outer faint halo is spherical, or only slightly elliptical, while the dense shell, which was formed from the FIW (superwind), is highly nonspherical. The positive correlation, albeit not perfect, between the onset of a FIW and a more nonspherical mass loss geometry can in principle result from two types of mechanism (Soker 2000a). In the first type (§2 of Soker 2000a) a primary process or event causes both the increase in the mass loss rate and its deviation from spherical geometry. A primary mechanism or event may be external, e.g., a late interaction with a stellar or substellar companion, or internal, e.g., rapid changes in some of the envelope properties. In the second type of flow, the FIW (superwind) makes possible a mechanism which is very inefficient at low mass loss rates. For example, the high mass loss rate leads to an optically thick flow, where inner regions shield outer regions from the stellar radiation, allowing enhanced dust formation rate. Such a process above cool magnetic spots will lead to the formation of an elliptical nebula if the spots are concentrated around the equator (Soker 2000b).

Finding elliptical faint (low density) halos will indicate that a nonspherical mass loss can occur at low mass loss rates. It is not clear yet if such halos exist. Finding spherical PNe which show signatures of superwind would indicate that the mechanism behind the FIW does not necessarily lead to axisymmetrical mass loss. There are not many such PNe, and the few mentioned above may be elliptical PNe seen edge-on. The explanation for the positive correlation between mass loss rate and mass loss geometry may therefore require a more elaborate answer. In many elliptical PNe, however, the FIW (superwind) is present along the polar directions as well as near the equatorial plane, although mass loss rate is higher near the equatorial plane. This seems to indicate that the second mechanism is correct: the mass loss rate increases at all directions, but it allows further increase of the mass loss rate near the equatorial plane, probably only if some minimum value of stellar rotation exists. I argue that this minimum rotation rate requires the AGB envelope to be spun-up by a companion (Soker 2001a), stellar or substellar. If this is the case, it is quite possible that some round PNe showing signatures of FIW will indeed be spherical, and not pole-on elliptical PNe.

3. WHAT IS REQUIRED FOR BECOMING A SPHERICAL PLANETARY NEBULA?

3.1. The Metallicity Connection

I propose the following explanation for the findings of the previous section. To form a spherical PNe the progenitor should have a metallicity below a critical value. For a given mass, the probability of forming a spherical PN increases as metallicity decreases, starting from zero probability at the critical metallicity $[Fe/H]_c$. The star should also be above some lower mass limit. For a given metallicity, the probability of forming a spherical PN increases with the progenitor initial mass M_i . I further argue, as I have been doing for the last decade, that this is expected if planets play a significant role in spinning-up evolved stars (Soker 2001a and references therein). To show that this is compatible with the galactic distribution of spherical PNe, I consider the galactic-metallicity dependence on age and the distance to the galactic center. Hereafter the age t is expressed in Gyr (being positive with t = 0 at present), while the distance to the galactic center, the Galactocentric radius, will be with respect to the distance of the sun to the galactic center in units of kpc (r = 0 at the solar neighborhood, and r > 0 moving away from the galactic center).

Lineweaver (2001) studies the relation between the metallicity of sun-like stars and the presence of hot Jupiter-like planets orbiting them. Lineweaver uses a galactic metallicity evolution in the solar neighborhood which presently has $[Fe/H]_s = -0.2$, similar to the metallicity given by Allen,

Carigi, & Peimbert (1998) in their PNe study, but lower than values given by others, e.g., Carraro, Ng & Portinari (1998; see reviews by Henry & Worthey 1999 and Shields 2001). I take a metallicity evolution in the solar neighborhood to be some average of these studies,

$$[Fe/H]_s(t) = -0.1 - 0.02t - a(t-5)^4 \qquad \begin{cases} a = 0 & t \le 5\\ a = 5 \times 10^{-4} & 5 < t \le 10, \end{cases}$$
 (1)

where t is the age given in Gyr, and subscript s refers to the solar neighborhood. Allen $et\ al.$ (1998) deduce from their PNe study that the metallicity gradient, with respect to the distance from the galactic center, was steeper in the past, while Carraro $at\ al.$ (1998) find a nonmonotonic evolution of the metallicity gradient which, they argue, is compatible with a gradient that does not change with time. Again, I take the gradient evolution to be the average between these two studies,

$$\frac{d[\text{Fe/H}]}{dr} = -2 \times 10^{-4} t^2 - 0.001t - 0.09 \text{ dex kpc}^{-1},$$
(2)

where r is the distance from the galactic center minus the solar distance to the galactic center (in kpc). The metallicity is therefore given by

$$[Fe/H](t,r) = [Fe/H]_s + \frac{d[Fe/H]}{dr}r.$$
 (3)

The contour map of [Fe/H](t,r) is plotted in Figure 1. The contour levels have a constant spacing of 0.1dex, with values marked near each contour line.

For present purposes the exact values of the metallicity are not important, but only the general variation of metallicity with r and t. To demonstrate the proposed explanation for the spherical PNe properties, I estimate the critical metallicity to be $[Fe/H]_c \simeq -0.4$, and mark this contour by a thicker line. If only stars with $[Fe/H] < [Fe/H]_c \simeq -0.4$ can form spherical PNe, it is clear from the figure that more spherical PNe will be located at larger distances from the galactic center, i.e., r > 0, and they all will be descendants of low mass progenitors. These PNe occupy the upper left corner of Figure 1, where the large age means that these PNe are formed from low mass stars. These low mass star progenitors imply that the galactic distribution of the descendant PNe will have a large average galactic latitude, as is indeed observed (Manchado et al. 2000). Moreover, the spherical PNe closer to the galactic center, r < 0, with $[Fe/H] \gtrsim -0.4$, are on average lighter (older) than those farther away from the galactic center, r > 0, meaning a larger average galactic latitude. This is compatible with the findings of section 2 (compare $fb_{\rm in}$ and coldsymbol > 0), all given in Table 1).

To have $\sim 5-10\%$ spherical PNe among all PNe, the probability for forming a spherical PNe must increase substantially as metallicity decreases by $\sim 0.3-0.4$ dex from the critical value. In the case assumed here, it is from $[\text{Fe/H}]_c \simeq -0.4$ to $[\text{Fe/H}] \simeq -0.8$. Two other relevant phenomena also change significantly when metallicity is changed by $\sim 0.3-0.4$ dex. The first is the presence of hot-Jupiter stars around sun-like stars. The several tens of extrasolar planets that have been found tend to orbit metal-rich stars. Lineweaver (2001) analyzes 32 hosts of Jupiter-like planets,

and argues that the probability of a sun-like star hosting a hot Jupiter-like planet increases from $\lesssim 10\%$ at [Fe/H] = 0.1 to $\sim 90\%$ at [Fe/H] = 0.4, and to almost 100% at [Fe/H] = 0.6. The second phenomenon is the distribution of HB stars on the Hertzsprung-Russel diagram of globular clusters (the HB morphology). The distribution, e.g., the relative number of blue HB stars, varies from one globular cluster to another. It has long been known that metallicity is the main, but not sole, factor which determines the HB morphology (for a historical review see, e.g., Rood, Whitney, & D'Cruz 1997; Fusi Pecci & Bellazzini 1997). The other factor(s) which determine(s) the HB morphology is termed the 'second parameter', and it is commonly thought that it has to do with mass loss on the red giant branch (Rood 1973). It is well known that the HB morphology significantly changes its behavior in a relatively narrow metallicity range of $-1.75 \lesssim [\text{Fe/H}] \lesssim -1.3$ (Soker & Hadar 2001 and references therein). Therefore, it is not unlikely that the property which determines the probability of forming a spherical PN significantly changes over a narrow metallicity range, around $[\text{Fe/H}] \sim -0.5$.

3.2. The Planets Conjecture

I now relate the metallicity dependence on time and Galactocentric radius to the planetinduced axisymmetrical mass loss model. In this model low departure from spherical mass loss of some stars on the upper AGB is attributed to planets, or brown dwarfs, which spin-up the AGB stellar envelopes (Soker 2001a and references therein). In other stars the nonspherical mass loss is due to interaction with stellar companions. Therefore, if a massive enough and close enough planet is present around a star, the stellar envelope will be spun-up by the planet via tidal effects and common envelope evolution. The maximum orbital separation for an interaction to take place is ~ 4 AU. In a previous paper (Soker 2001a) I argue that even planets having masses as small as $0.01M_J$, where M_J is Jupiter's mass, can lead to a slightly nonspherical mass loss geometry. Low mass stars hosting a close planet will engulf the planet already on the first giant branch (RGB), prior to the HB. The stars will be spun-up, their mass loss rate is likely to increase (Soker & Hadar 2001), and they will retain very small mass in their envelopes, forming blue HB stars. Therefore, it is very likely that most known extrasolar systems will not form PN at all, but rather the hosting stars will engulf the orbiting planets during their RGB, lose most of their envelope on the RGB, and turn into blue HB stars. Blue HB stars are not likely to reach the upper AGB and form PNe because of their very low envelope masses. Even single low mass stars may lose too much mass on the RGB, possibly due to fast rotation, and never form PNe. For different reasons Allen et al. (1998) argue that less than half of all stars of initial mass $M_i < 1.3 M_{\odot}$ form PNe.

It seems therefore that stars hosting close planets with mass $\gtrsim 0.01 M_J$ will either lose most of their envelope on the RGB and never form PNe, or reach the AGB but form nonspherical, i.e., elliptical, PNe. To form a spherical PN a star should not have any close planet with a mass $\gtrsim 0.01 M_J$, and of course no close stellar companion or brown dwarf either. It is very likely, e.g.,

Lineweaver (2001), that the probability of forming a planet, and the planet's mass, strongly depend on metallicity. Lineweaver (2001), for example, assumes that Earth-type planets are formed only when $[Fe/H] \gtrsim -1$, with increasing probability for increasing metallicity. For planets to induce mass loss in globular clusters, low mass planets should be formed already at $[Fe/H] \sim -1.7$. The initial mass of globular clusters stars now reaching the HB is ~ 0.9 , so Earth-like planets maybe enough in some cases to enhance mass loss. More massive planets may be required to prevent stars of $M_i \gtrsim 1.1 M_{\odot}$ from reaching the AGB. It is not unlikely that planets with mass of $\gtrsim 0.01 - 0.1 M_J$ will be formed at high probability when $[Fe/H] \gtrsim -0.4$, as I suggested in the previous subsection. Such close planets will either cause their hosting star to form an elliptical PN or cause the star to lose most of its envelope, never forming a PN.

4. SUMMARY

The main finding of the paper concerns the distribution of spherical PNe in the galaxy. I found that spherical PNe tend to be concentrated away from the galactic center, and spherical PNe which are closer than the sun to the galactic center have a relatively very high average galactic latitude (Table 1). I used this finding to argue that spherical PNe are formed from low metallicity stellar progenitors (see Figure 1). A crude estimate suggests that spherical PNe are formed from stars with $[F_e/H] \lesssim -0.4$ (and initial mass of $M_i \gtrsim 0.9 M_{\odot}$), although only a minority of stars with these properties do form spherical PNe.

I further argued that the dependence on metallicity may result from the role played by planets in spinning-up the envelope of RGB and AGB stars. A planet will either spin-up the stellar envelope to blow a nonspherical wind, hence forming an elliptical PN, or, if the spin-up occurs on the RGB of a low mass star, the relatively fastly rotating RGB star will lose most of its envelope and form a blue HB star, but will never reach the upper AGB and form a PN. Lineweaver (2001) finds the probability of forming Jupiter-like planets, with masses of $M_p \sim M_J$, to increase from $\leq 10\%$ at $[\text{Fe}/\text{H}]_1 = 0.1$ to $\sim 90\%$ at $[\text{Fe}/\text{H}]_u = 0.4$. The planet-induced axisymmetrical mass loss model to explain the properties of spherical PNe requires that the probability of forming planets of $M_p \sim 0.01 - 0.1 M_J$ increase significantly from $[\text{Fe}/\text{H}]_1 \simeq -0.8$ to $[\text{Fe}/\text{H}]_u \simeq -0.4$. Of course, more massive planets can be formed as well. For comparison, the planet second parameter model to explain some HB morphologies of globular clusters (Soker & Hadar 2001) requires that the probability of forming planets of $M_p \simeq 0.01 M_J$ increase significantly from $[\text{Fe}/\text{H}]_1 \simeq -1.7$ to $[\text{Fe}/\text{H}]_u \simeq -1.3$. These three critical metallicity bands show a monotonic trend, which, I claim, supports the conjecture that planets play a crucial role in the mass loss history of evolved stars.

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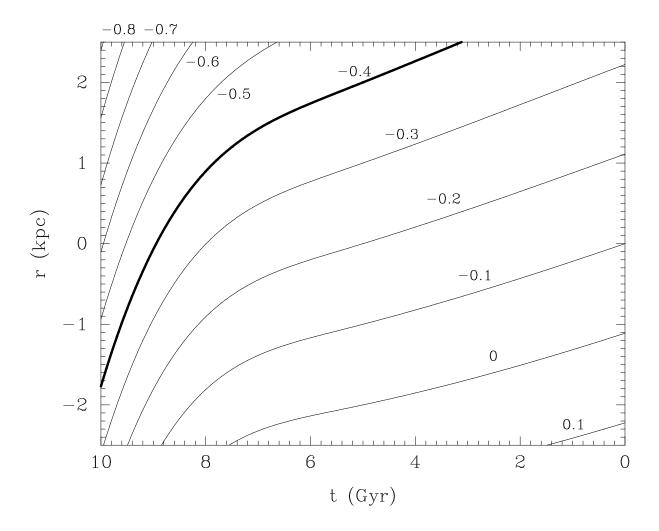
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FIGURE CAPTIONS Fig. 1.— Metallicity contour map. The value of [Fe/H] is written near each contour line, r is the Galactocentric distance relative to the sun (r=0 for the solar neighborhood, r>0 is away from the galactic center) and t is the age (t=0 at present). This contour map is used in the text together with the distribution of spherical PNe in the galaxy (Table 1) to argue that the progenitors of spherical PNe have $[Fe/H] \lesssim -0.4$ (marked by a thick line).

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DISTRIBUTION OF PNE IN THE GALAXY

PNe Type	Spherical	Bipolar	E-Elliptical	Elliptical
Source (S97)	Table 2	Table 3	Table 4	Table 5
N_T	18	49	111	274
l_a	112°	70°	65°	68°
$N_{ m in}$	6	35	77	188
$l_{ m in}$	52°	44°	40°	39°
fb_{in}	0.67	0.06	0.19	0.27
$< b_{ m in} >$	$\sim 12^{\circ}$	2°	4°	5°
$N_{ m out}$	12	14	34	86
$l_{ m out}$	142°	135°	124°	130°
$fb_{ m out}$	0.42	0.14	0.24	0.36
$< b_{ m out} >$	9°	4°	4.5°	7°
$N_{ m out}/N_T$	0.67	0.29	0.31	0.31

The classification used is according to S97. E-Elliptical means extreme-elliptical PNe, which S97 assumed to be formed (in most cases) via interaction with a stellar companion that went through a common envelope phase. Bipolar were assumed by S97 to result from interaction with a close stellar companion outside the AGB envelope, while elliptical were assumed to result from interaction with substellar companions or wide stellar companions. The meaning of the symbols are: N_T and l_a , total number and average galactic longitude of PNe in each class, respectively; $N_{\rm in}$ and $l_{\rm in}$, number of PNe toward the galactic center, i.e., having galactic longitude of $l < 90^\circ$ or $l > 270^\circ$, and their average value of the galactic longitude l; $fb_{\rm in}$ and l_a , fraction of PNe having galactic latitude of $l > 10^\circ$ and the median value of $l > 10^\circ$ for PNe toward the galactic center. Quantities with subscript 'out' have the same meaning but for PNe away from the galactic center, i.e., having $l > 10^\circ$. For statistics involving the galactic longitude I took the galactic longitude of PNe with $l > 10^\circ$ to be $l_s = 360^\circ - l$.